

First Named Inventor	Mark Durcan
Serial No.	09/652,999
Filing Date	August 31, 2000
Group Art Unit	2814
Allowed	May 3, 2004
Examiner	DiLinh P. Nguyen
Confirmation No.	6501
Attorney Docket No.	400.153US03

**COMMUNICATION RE:**  
**INFORMATION DISCLOSURE**  
**STATEMENT AND 1449 FORM**

**Title: CONTAINER CAPACITOR STRUCTURE AND METHOD OF FORMATION  
THEREOF**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Upon Applicant's review of the file after receipt of the Notice of Allowance and Fee(s) Due mailed May 3, 2004, it was noted that Applicant mailed a Third Supplemental Information Disclosure Statement and 1449 form to the U.S. Patent Office on September 6, 2001, and that the Form 1449 has not been initialed by the Examiner. Attached please find copies of the postcard, transmittal, Third Supplemental Information Disclosure Statement, Form 1449 and reference, which were certified as having been mailed on September 6, 2001.

Applicant respectfully requests that this Third Supplemental Information Disclosure Statement be entered and the references listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to MPEP §609, Applicant further requests that the Examiner initial next to each reference on the Form 1449 to indicate that the listed references have been considered. Applicant further requests that a copy of the initialed Form 1449 be returned with the next official communication.

As this communication is being filed with a Request for Continued Examination, Applicant believes that no additional fees are due. However, the Commissioner for Patents is hereby authorized to charge any additional fees to Deposit Account No. 501373. If the Examiner

has any questions or concerns regarding this application, please contact the undersigned at the number listed below.

Respectfully submitted,

Date: 2 August 2004

  
\_\_\_\_\_  
Daniel J. Polglaze  
Reg. No. 39,801

Attorneys for Applicant  
Leffert Jay & Polglaze, P.A.  
P.O. Box 581009  
Minneapolis, MN 55458-1009  
Telephone 612-312-2200  
Facsimile 612-312-2250



Please indicate receipt of the below identified papers:

3 page response to the Office Action dated 6/6/01

Other:

Fee transmittal sheet (in duplicate),  
3d Supplemental IDS, Form 1449 (in duplicate), citation,  
return receipt postcard.

Mailing date: 9/6/01

Certificate of Timely Mailing  
 Express mail Certificate No. \_\_\_\_\_

**Identification of Application:**

New Application  Continuation

Divisional

CIP

Title: CONTAINER CAPACITOR STRUCTURE AND METHOD OF FORMATION THEREOF

Inventor(s): Durcan et al.

Micron File No: 98-1068.02

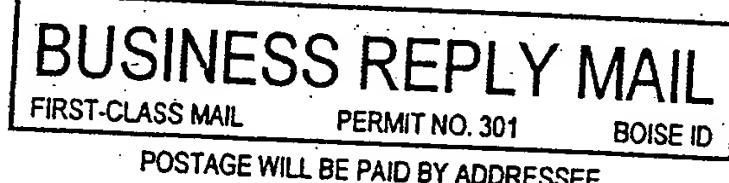
Application Serial No. 09/652,999

Attorney/Agent: Charles Brantley

*Please Return Postcard*



NO POSTAGE  
NECESSARY  
IF MAILED  
IN THE  
UNITED STATES



**Micron**

MS 525  
PO BOX 6  
BOISE, IDAHO 83707-9845



# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

AUG 03 2004

TOTAL AMOUNT OF PAYMENT (\$ 180

Complete if Known	
Application Number	09/652,999
Filing Date	8/31/00
First Named Inventor	D. Mark Durcan et al.
Examiner Name	DiLinh Nguyen
Group / Art Unit	2814
Attorney Docket No.	98-1068.02

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 13-3092, Order No. 98-1068.02

Deposit Account Name: Micron Technology, Inc.

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$ 0)

## 2. EXTRA CLAIM FEES

Total Claims	1	-20	= 0	Extra Claims	Fee from below	Fee Paid
Independent Claims	1	-3	= 0	X 80	= 0	
Multiple Dependent				X 0	= 0	

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$ 0)

## FEE CALCULATION (continued)

3. ADDITIONAL FEES	Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
	105	130	205	65	Surcharge - late filing fee or oath	
	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
	139	130	139	130	Non-English specification	
	147	2,520	147	2,520	For filing a request for reexamination	
	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
	115	110	215	55	Extension for reply within first month	
	116	390	216	195	Extension for reply within second month	
	117	890	217	445	Extension for reply within third month	
	118	1,390	218	695	Extension for reply within fourth month	
	128	1,890	228	945	Extension for reply within fifth month	
	119	310	219	155	Notice of Appeal	
	120	310	220	155	Filing a brief in support of an appeal	
	121	270	221	135	Request for oral hearing	
	138	1,510	138	1,510	Petition to institute a public use proceeding	
	140	110	240	55	Petition to revive - unavoidable	
	141	1,240	241	620	Petition to revive - unintentional	
	142	1,240	242	620	Utility issue fee (or reissue)	
	143	440	243	220	Design issue fee	
	144	600	244	300	Plant issue fee	
	122	130	122	130	Petitions to the Commissioner	
	123	130	123	130	Petitions related to provisional applications	
	126	180	126	180	Submission of Information Disclosure Stmt	180
	581	40	581	40	Recording each patent assignment per property (times number of properties)	
	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
	179	710	279	355	Request for Continued Examination (RCE)	
	169	900	169	900	Request for expedited examination of a design application	
					Other fee (specify) _____	0

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 180)

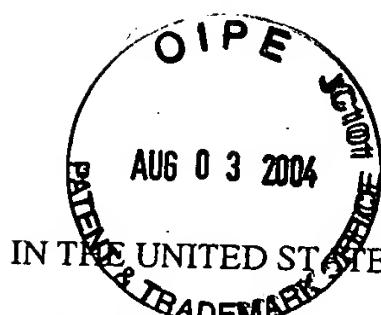
\*\*or number previously paid, if greater; For Reissues, see above

## SUBMITTED BY

Name (Print/Type)	Charles Brantley	Registration No. Attorney/Agent)	38,086	Telephone	Complete (if applicable)
Signature	Charles Brantley			Date	9/6/1

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: D. Mark Durcan, et al.

Serial No.: 09/652,999

Filed: August 31, 2000

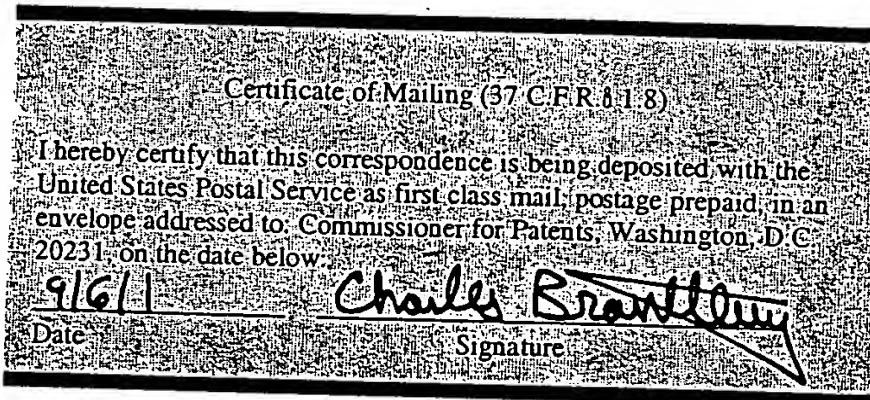
For: CONTAINER CAPACITOR STRUCTURE AND  
METHOD OF FORMATION THEREOF

§ Group Art Unit: 2814  
§ Examiner: DiLinh Nguyen  
§ Atty. Docket: 98-1068.02  
§  
§  
§  
§

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:



In compliance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants respectfully request that this Information Disclosure Statement be entered and that the reference listed on the attached Form PTO-1449 be considered by the Examiner and made of record. A copy of the listed reference is enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R. § 1.97(b), this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possible material information as defined in 37 C.F.R. § 1.56(a) exists.

The following reference is submitted for the Examiner's review:

U.S. Patent

U.S. Patent No.	Issue Date	Inventor
5,885,864	3/23/99	Ma

This Information Disclosure Statement is being submitted after the mailing of the first Office Action, but before the mailing of a Final Rejection or Notice of Allowance. The Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) of \$180 and any additional fees which may be required to Micron Technology Inc. Deposit Account No.13-3092, Order No. 98-1068.02.

If there are any matters which may be resolved or clarified through telephone interview, the Examiner is respectfully requested to contact Applicants' undersigned attorney at the number indicated.

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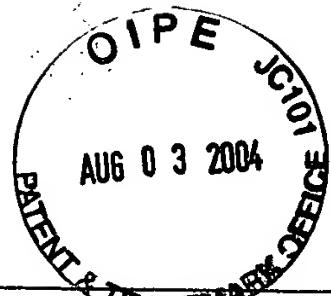
A Form PTO-1449 is enclosed herewith.

Respectfully submitted,

  
Charles Brantley

Charles Brantley  
Reg. No. 38,086  
Micron Technology, Inc.  
8000 S. Federal Way  
Boise, ID 83716-9632  
(208) 368-4557  
ATTORNEY FOR APPLICANTS

Date: 9/6/1



Sheet: 1 of: 1

FORM: PTO-1449 (REV: 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))	Atty Docket No: <b>98-1068.02</b>	Serial No: <b>09/652,999</b>
		Applicant: <b>D. Mark Durcan et al.</b>	
		Filing Date: <b>8/31/00</b>	Group: <b>2814</b>

### U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass
AA	5,885,864	3/23/99	Ma	438	253
AB					
AB					
AC					
AD					
AE					
AF					
AG					
AH					
AI					
AJ					
AK					

### FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes	Translation No
AL						<input type="checkbox"/>	<input type="checkbox"/>
AM						<input type="checkbox"/>	<input type="checkbox"/>
AN						<input type="checkbox"/>	<input type="checkbox"/>
AO						<input type="checkbox"/>	<input type="checkbox"/>
AP						<input type="checkbox"/>	<input type="checkbox"/>
AQ						<input type="checkbox"/>	<input type="checkbox"/>

Initial

### OTHER ART (including author, title, date, pertinent pages, etc.)

AR			
AS			
AT			

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.